QUEST
Professional Center

PROFESSIONAL TRAINING COURSE

Course No. 1101

Internal Controls & Governance in Banking

BANKING GOVERNANCE, AUDIT & REGULATORY FRAMEWORKS
FINANCE, INVESTMENT & BANKING

DURATION
5 Days

DELIVERY
Classroom

LOCATION
Amman, Jordan

DATES
21 – 25 Dec 2026

Scheduled Event Details

CITY	Amman, Jordan
DATE	21 – 25 Dec 2026
DELIVERY TYPE	Classroom
COURSE FEE	€3,100

Course Overview

Internal Controls & Governance in Banking is a comprehensive professional training course designed to equip banking executives, governance professionals, internal control managers, risk managers, compliance officers, internal auditors, operational leaders, and audit committee members with the strategic knowledge and practical skills required to establish, evaluate, and strengthen internal control systems and governance frameworks in accordance with international standards and banking best practices. As financial institutions continue to face increasing regulatory expectations, digital transformation, cybersecurity threats, and evolving operational risks, effective governance and robust internal controls have become fundamental to organizational resilience, regulatory compliance, and sustainable business performance.

The course provides participants with a comprehensive understanding of corporate governance principles and internal control systems within banking institutions. Participants will explore governance structures, board responsibilities, executive accountability, audit committee oversight, the Three Lines Model, internal control frameworks, control environment, risk assessment, control activities, information and communication, and monitoring processes. The program also examines how effective governance and internal controls contribute to sound decision-making, operational excellence, financial integrity, and stakeholder confidence.

The program further explores the integration of Internal Controls & Governance in Banking with Enterprise Risk Management (ERM), Regulatory Compliance, Basel III and Basel IV requirements, Anti-Money Laundering (AML), Counter-Terrorist Financing (CTF), Operational Risk Management, Internal Audit, Fraud Risk Management, Cybersecurity, Digital Banking Transformation, Information Technology (IT) Governance, Data Governance, Business Continuity Management, Environmental, Social, and Governance (ESG) principles, and organizational performance management. Participants will strengthen their ability to design governance frameworks that support accountability, transparency, effective risk oversight, and continuous improvement.

Through interactive workshops, banking governance case studies, internal control assessments, risk evaluation exercises, governance simulations, policy development activities, and implementation planning sessions, participants will develop the practical capabilities required to establish strong governance structures, enhance internal controls, improve compliance, reduce operational risk, and strengthen organizational performance. By the end of the course, participants will be equipped to support effective governance practices that promote operational resilience, regulatory readiness, and long-term institutional success.

Objectives

- Analyze Internal Controls & Governance in Banking principles and evaluate their contribution to organizational performance, governance effectiveness, and regulatory compliance by the end of the course.
- Develop governance frameworks aligned with international standards, regulatory expectations, and organizational objectives.
- Evaluate the effectiveness of internal control systems across banking operations and business functions.
- Apply internationally recognized internal control and governance frameworks to strengthen banking operations.
- Design integrated internal control structures that support enterprise risk management, compliance, and operational excellence.
- Improve organizational decision-making through effective governance practices, risk oversight, and control monitoring.
- Strengthen governance by integrating AML controls, CTF measures, regulatory compliance, fraud prevention, and ethical business practices.
- Implement Key Performance Indicators (KPIs), Key Risk Indicators (KRIs), and internal control effectiveness metrics to monitor governance performance.
- Assess the impact of Digital Banking Transformation, cybersecurity, artificial intelligence, and emerging technologies on governance and internal control systems.
- Align governance and internal control initiatives with enterprise strategy, risk appetite, regulatory requirements, and sustainable organizational growth before course completion.

Who Should Attend

This course is designed for Governance Managers, Internal Control Managers, Risk Managers, Compliance Managers, Internal Auditors, Audit Committee Members, Banking Operations Managers, Financial Controllers, AML Professionals, Fraud Risk Specialists, IT Governance Professionals, Cybersecurity Managers, Legal Advisors, Senior Executives, Board Members, and professionals responsible for governance, internal controls, compliance, and enterprise risk management within financial institutions.

The program is equally valuable for professionals working in central banks, financial supervisory authorities, ministries, government entities, commercial banks, investment banks, Islamic banks, insurance companies, consulting firms, financial technology organizations, and decision-makers responsible for governance, regulatory compliance, operational excellence, organizational resilience, and institutional performance.

Learning Outcomes

- By the end of this course, participants will be able to:
- Design and implement effective governance frameworks and internal control systems for banking institutions.
- Evaluate the effectiveness of internal controls and identify control weaknesses and improvement opportunities.
- Apply the Three Lines Model to strengthen governance, accountability, and enterprise risk management.
- Develop policies and procedures that support regulatory compliance and operational efficiency.
- Integrate AML, CTF, fraud prevention, and compliance requirements into internal control frameworks.
- Utilize KPIs, KRIs, and internal control effectiveness metrics to measure governance performance.
- Assess governance risks associated with Digital Banking Transformation, cybersecurity, data governance, and emerging technologies.
- Prepare professional governance and internal control assessment reports with practical recommendations.
- Support boards of directors and executive management in strengthening governance, risk oversight, and strategic decision-making.

- Develop a practical implementation roadmap that enhances governance, strengthens internal controls, improves regulatory compliance, reduces operational risks, supports organizational resilience, and promotes sustainable banking performance.

Course Outline

DAY 01

Foundations of Internal Controls and Corporate Governance

- Principles of corporate governance in banking
- Roles and responsibilities of the board of directors and executive management
- The Three Lines Model
- Components of an effective internal control framework

PRACTICAL APPLICATION OR DISCUSSION

Assessing governance and internal control maturity within a banking institution

DAY 02

Designing and Evaluating Internal Controls

- Preventive, detective, and corrective controls
- Internal control design methodologies
- Process mapping and control documentation
- Internal control testing and effectiveness evaluation

PRACTICAL APPLICATION OR DISCUSSION

Evaluating controls within a critical banking process

DAY 03

Governance, Risk, and Compliance Integration

- Enterprise Risk Management integration
- Regulatory compliance frameworks

DAY 03 — CONTINUED

- AML, CTF, and fraud prevention controls
- Operational risk management and governance oversight

PRACTICAL APPLICATION OR DISCUSSION

Performing governance and control risk assessments

DAY 04

Digital Governance and Performance Measurement

- Digital governance and technology risk management
- Cybersecurity governance and data governance
- KPIs, KRIs, and internal control effectiveness metrics
- Governance reporting and continuous monitoring

PRACTICAL APPLICATION OR DISCUSSION

Developing an executive governance and control performance dashboard

DAY 05

Strategic Governance Excellence

- Building an enterprise-wide governance and internal control framework
- Governance culture, ethics, and accountability
- Emerging trends in governance and internal controls for banks
- Continuous improvement and governance assurance

FINAL WORKSHOP

Developing a comprehensive Internal Controls & Governance in Banking implementation roadmap that includes governance framework development, internal control design, enterprise risk integration, AML and CTF controls, fraud prevention, regulatory compliance, cybersecurity governance, data governance, KPI and KRI measurement, board reporting, organizational change management, and continuous improvement initiatives to strengthen governance, improve internal controls, enhance regulatory compliance, reduce operational risks, support organizational resilience, and achieve sustainable banking excellence.

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Register or Request More Information

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