QUEST
Professional Center

PROFESSIONAL TRAINING COURSE

Course No. 1694

Government Compliance Management & Regulatory Governance Training Course

GOVERNMENT COMPLIANCE, ETHICS & INTEGRITY
GOVERNMENT & PUBLIC SECTOR

DURATION
5 Days

DELIVERY
Classroom

Course Overview

The Government Compliance Management & Regulatory Governance Training Course provides a comprehensive and practical framework for strengthening regulatory compliance, institutional oversight, and governance within government entities and public-sector organizations. The program focuses on enabling leaders and professionals to establish structured compliance systems that support regulatory accountability, consistent policy implementation, risk management, transparency, and effective institutional performance.

Government organizations operate within complex regulatory environments involving laws, regulations, executive directives, government policies, internal procedures, standards, licensing requirements, and sector-specific obligations. Managing these requirements effectively requires more than maintaining a list of regulations. Organizations need clear ownership, structured compliance processes, effective controls, monitoring mechanisms, reporting arrangements, and timely corrective actions.

The course examines the full compliance management lifecycle, from identifying and interpreting regulatory requirements to translating them into operational controls, responsibilities, procedures, monitoring activities, and performance indicators. Participants will learn how to develop regulatory obligations registers, assess compliance risks, map requirements to processes, identify control gaps, and establish mechanisms for monitoring and reporting compliance.

A strong focus is placed on Regulatory Governance and the relationship between regulators, government entities, regulated organizations, internal stakeholders, and the public. Participants will explore regulatory accountability, policy governance, regulatory oversight, enforcement principles, regulatory decision-making, stakeholder engagement, and mechanisms for ensuring consistent and transparent implementation of regulatory requirements.

Through government-focused case studies, compliance assessments, regulatory mapping exercises, control evaluations, and practical workshops, participants will develop the ability to strengthen compliance governance and build sustainable regulatory management practices. The course is suitable for ministries, government authorities, regulatory bodies, municipalities, public institutions, and government-owned organizations seeking to improve compliance effectiveness, regulatory oversight, accountability, and institutional resilience.

Objectives

- Analyze the principles of government compliance management and regulatory governance within the public sector.
- Assess regulatory environments and identify the laws, regulations, policies, directives, and obligations relevant to institutional activities.
- Develop structured regulatory obligations registers and map requirements to responsible functions and business processes.
- Evaluate compliance risks based on regulatory requirements, organizational exposure, potential impact, and likelihood.
- Apply methodologies for translating regulatory obligations into policies, procedures, controls, and operational requirements.
- Assess the effectiveness of compliance controls and identify regulatory and operational gaps.
- Develop compliance monitoring and testing mechanisms aligned with institutional risk priorities.
- Strengthen regulatory reporting, documentation, escalation, and accountability mechanisms.
- Apply principles of regulatory governance to support consistent, transparent, and evidence-based decision-making.
- Develop corrective and preventive action plans for identified compliance deficiencies and regulatory breaches.
- Strengthen coordination between compliance, legal, risk management, internal audit, operations, and executive leadership.
- Develop an integrated Government Compliance Management & Regulatory Governance framework by the end of the course.

Who Should Attend

This training course is designed for government executives, compliance managers, regulatory affairs professionals, governance specialists, risk managers, legal and policy professionals, internal auditors, control specialists, and senior managers responsible for regulatory compliance and institutional oversight.

The course is particularly relevant to professionals working in ministries, government authorities, regulatory bodies, municipalities, public institutions, and government-owned organizations. It is also suitable for professionals in legal affairs, policy development, risk management, internal audit, finance, procurement, licensing, inspections, enforcement, operations, and institutional development.

The program will benefit regulatory decision makers, compliance committee members, policy owners, control functions, and leaders responsible for translating regulatory requirements into effective organizational practices. Participants will gain practical approaches for managing regulatory obligations, strengthening compliance controls, monitoring performance, addressing deficiencies, and improving regulatory governance.

Learning Outcomes

- Explain the principles and components of government compliance management and regulatory governance.
- Identify and classify applicable laws, regulations, policies, directives, standards, and institutional obligations.
- Develop a regulatory obligations register and assign clear ownership and accountability.
- Map regulatory requirements to organizational processes, controls, policies, and responsibilities.
- Conduct structured compliance risk assessments and prioritize regulatory risks.
- Evaluate compliance controls and identify gaps, weaknesses, and areas requiring remediation.
- Develop risk-based compliance monitoring and testing programs.
- Establish effective compliance reporting, documentation, escalation, and management information mechanisms.
- Apply regulatory governance principles to support transparent, consistent, and accountable decisions.
- Develop corrective and preventive actions for regulatory breaches and compliance deficiencies.
- Strengthen collaboration between compliance, legal, risk, audit, operational, and executive functions.
- Prepare an implementation plan for strengthening Government Compliance Management & Regulatory Governance within a public-sector organization.

Course Outline

DAY 01

Foundations of Government Compliance & Regulatory Governance

- Principles and objectives of government compliance management
- Regulatory governance and its relationship with public-sector accountability
- Types of regulatory obligations: laws, regulations, policies, directives, standards, and procedures
- Roles and responsibilities of executives, compliance functions, regulators, legal teams, and operational management

> **PRACTICAL APPLICATION**
> Identify and classify the regulatory obligations affecting a government organization

DAY 02

Regulatory Mapping & Compliance Risk Assessment

- Regulatory obligations identification and interpretation
- Developing and maintaining regulatory obligations registers
- Mapping regulatory requirements to organizational processes and responsible owners
- Compliance risk identification, assessment, prioritization, and escalation

> **PRACTICAL APPLICATION**
> Build a regulatory obligations and compliance risk map for a selected government process

DAY 03

Compliance Controls, Monitoring & Testing

- Translating regulatory requirements into policies, procedures, and internal controls
- Preventive and detective compliance controls
- Compliance monitoring, testing, reviews, and evidence collection

DAY 03 — CONTINUED

- Identifying control weaknesses and regulatory compliance gaps

PRACTICAL APPLICATION

Evaluate a compliance control framework and develop improvements for identified deficiencies

DAY 04

Regulatory Governance, Oversight & Enforcement

- Principles of regulatory decision-making, oversight, and accountability
- Regulatory transparency, consistency, proportionality, and documentation
- Stakeholder engagement and communication within regulatory environments
- Inspections, enforcement processes, regulatory breaches, and escalation

PRACTICAL APPLICATION

Analyze a regulatory governance case and develop a structured response to a compliance breach

DAY 05

Compliance Reporting, Remediation & Institutional Improvement

- Executive compliance reporting, dashboards, indicators, and management information
- Corrective and preventive actions and remediation planning
- Coordination between compliance, legal, risk management, internal audit, and executive leadership
- Measuring compliance maturity and strengthening continuous improvement

FINAL WORKSHOP

Develop an integrated Government Compliance Management & Regulatory Governance framework covering regulatory obligations, compliance risks, controls, monitoring, reporting, accountability, breach management, remediation, and continuous improvement

Register or Request More Information

Course page: https://quest-training.com/courses/government-compliance-management-regulatory-governance-training-course
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