QUEST
Professional Center

PROFESSIONAL TRAINING COURSE

Course No. 1105

Governance, Risk & Compliance (GRC) for Banks

BANKING GOVERNANCE, AUDIT & REGULATORY FRAMEWORKS
FINANCE, INVESTMENT & BANKING

DURATION
5 Days

DELIVERY
Classroom

Course Overview

Governance, Risk & Compliance (GRC) for Banks is a comprehensive professional training course designed to equip banking executives, governance professionals, risk managers, compliance officers, internal auditors, operational leaders, board committee members, and senior decision-makers with the strategic knowledge and practical skills required to establish and manage an integrated Governance, Risk & Compliance (GRC) framework aligned with international best practices and regulatory expectations. As banks operate in an increasingly complex regulatory and risk environment, an effective GRC framework has become essential for strengthening corporate governance, improving organizational resilience, supporting informed decision-making, and achieving sustainable institutional performance.

The course provides participants with a comprehensive understanding of Governance, Risk & Compliance principles and demonstrates how governance, enterprise risk management, compliance, and internal control functions can be integrated into a unified management framework. Participants will explore governance structures, board oversight, the Three Lines Model, enterprise risk management, internal control systems, policy management, regulatory compliance, risk reporting, control monitoring, and governance performance measurement. The program emphasizes the importance of establishing a strong governance culture that promotes transparency, accountability, ethical conduct, and operational excellence.

The program further explores the relationship between Governance, Risk & Compliance (GRC) for Banks and Corporate Governance, Enterprise Risk Management (ERM), Basel III and Basel IV, Internal Controls, Internal Audit, Regulatory Compliance, Anti-Money Laundering (AML), Counter-Terrorist Financing (CTF), Fraud Risk Management, Operational Risk Management, Credit Risk Management, Market Risk Management, Liquidity Risk Management, Cybersecurity, Information Technology (IT) Governance, Data Governance, Digital Banking Transformation, Business Continuity Management, Crisis Management, Environmental, Social, and Governance (ESG), and organizational resilience. Participants will gain practical insights into designing integrated governance frameworks that strengthen compliance, enhance risk visibility, improve control effectiveness, and support strategic decision-making.

Through interactive workshops, banking case studies, governance assessments, enterprise risk evaluations, compliance simulations, policy development exercises, internal control reviews, executive reporting activities, and implementation planning sessions, participants will strengthen their ability to establish integrated GRC frameworks that improve governance effectiveness, reduce organizational risk, strengthen regulatory compliance, enhance operational resilience, and support sustainable banking growth.

Objectives

- Analyze Governance, Risk & Compliance (GRC) principles and evaluate their impact on governance effectiveness, organizational performance, and regulatory compliance by the end of the course.
- Develop integrated GRC frameworks aligned with international standards, regulatory expectations, and organizational objectives.
- Evaluate governance structures, enterprise risk management processes, compliance programs, and internal control systems.
- Apply GRC principles to strengthen governance oversight, operational resilience, and organizational accountability.
- Design governance frameworks that integrate risk management, compliance, internal controls, and strategic decision-making.
- Improve executive decision-making by utilizing enterprise-wide governance, risk, and compliance information.
- Strengthen governance by integrating AML controls, CTF measures, fraud prevention, Basel requirements, and regulatory compliance into enterprise GRC programs.
- Implement Key Performance Indicators (KPIs), Key Risk Indicators (KRIs), compliance metrics, and governance performance measures to evaluate GRC effectiveness.
- Assess the impact of Digital Banking Transformation, cybersecurity, artificial intelligence, ESG, and emerging technologies on enterprise GRC frameworks.
- Align Governance, Risk & Compliance initiatives with corporate strategy, risk appetite, regulatory requirements, and sustainable organizational growth before course completion.

Who Should Attend

This course is designed for Board Members, Executive Management, Governance Managers, Chief Risk Officers, Risk Managers, Compliance Managers, Internal Audit Executives, Internal Control Managers, Operational Risk Managers, AML Managers, Fraud Risk Specialists, Finance Managers, Legal Advisors, Business Continuity Managers, Information Security Managers, IT Governance Professionals, Digital Transformation Leaders, and professionals responsible for governance, risk management, compliance, and organizational oversight within financial institutions.

The program is equally valuable for professionals working in central banks, financial supervisory authorities, ministries, government entities, commercial banks, investment banks, Islamic banks, insurance companies, financial technology organizations, consulting firms, and decision-makers responsible for corporate governance, enterprise risk management, regulatory compliance, operational resilience, and strategic institutional development.

Learning Outcomes

- By the end of this course, participants will be able to:
- Design and implement an integrated Governance, Risk & Compliance (GRC) framework for banking institutions.
- Evaluate governance structures, enterprise risk management practices, and internal control systems.
- Apply the Three Lines Model to strengthen governance, accountability, and risk oversight.
- Develop governance policies, compliance frameworks, and risk management procedures.
- Integrate AML, CTF, fraud risk management, Basel requirements, and regulatory compliance into enterprise GRC programs.
- Utilize KPIs, KRIs, governance dashboards, and compliance metrics to monitor organizational performance.
- Assess governance risks associated with Digital Banking Transformation, cybersecurity, artificial intelligence, and data governance.
- Prepare executive governance, risk, and compliance reports for senior management and boards of directors.
- Support strategic decision-making through integrated governance, risk, and compliance information.
- Develop a practical implementation roadmap that strengthens governance, enhances enterprise risk management, improves regulatory compliance, reinforces internal controls, supports operational resilience, promotes organizational accountability, and drives sustainable institutional excellence.

Course Outline

DAY 01

Foundations of Governance, Risk & Compliance

- Principles of Governance, Risk & Compliance (GRC)
- Corporate governance in banking institutions
- The Three Lines Model
- Roles and responsibilities of boards and executive management

> **PRACTICAL APPLICATION OR DISCUSSION**
> Assessing GRC maturity within a banking institution

DAY 02

Enterprise Risk Management and Internal Controls

- Enterprise Risk Management (ERM) framework
- Risk identification, assessment, and mitigation
- Internal control systems and control effectiveness
- Policy and procedure governance

> **PRACTICAL APPLICATION OR DISCUSSION**
> Conducting an integrated risk and control assessment

DAY 03

Regulatory Compliance and Governance Oversight

- Regulatory compliance management
- Basel III and Basel IV governance requirements
- Anti-Money Laundering (AML) and Counter-Terrorist Financing (CTF)
- Fraud risk management and regulatory reporting

DAY 03 — CONTINUED

PRACTICAL APPLICATION OR DISCUSSION

Evaluating enterprise compliance effectiveness

DAY 04

Digital Governance and Performance Measurement

- Information Technology (IT) governance and cybersecurity
- Data governance and Digital Banking Transformation
- KPIs, KRIs, governance dashboards, and compliance metrics
- Executive reporting and performance monitoring

PRACTICAL APPLICATION OR DISCUSSION

Designing an integrated GRC executive dashboard

DAY 05

Building an Integrated GRC Framework

- Enterprise GRC framework design and implementation
- Organizational change management and governance culture
- Emerging trends in Governance, Risk & Compliance for banks
- Continuous improvement and regulatory readiness

FINAL WORKSHOP

Developing a comprehensive Governance, Risk & Compliance (GRC) for Banks implementation roadmap that includes corporate governance enhancement, enterprise risk management integration, internal control optimization, regulatory compliance management, Basel implementation, AML and CTF governance, fraud risk management, IT governance, cybersecurity oversight, data governance, KPI and KRI measurement, executive reporting, organizational change management, continuous improvement initiatives, and governance maturity assessment to strengthen institutional resilience, improve regulatory compliance, enhance governance effectiveness, support strategic decision-making, and achieve sustainable banking excellence.

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Register or Request More Information

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