

Course No. 1424

Ethics & Compliance Management Training Course

**COMPLIANCE, AML & FINANCIAL CRIME
FINANCE, INVESTMENT & BANKING**

DURATION

5 Days

LOCATION

London, United Kingdom

DELIVERY

Classroom

DATES

16 – 20 Nov 2026



Scheduled Event Details

CITY	London, United Kingdom
DATE	16 – 20 Nov 2026
DELIVERY TYPE	Classroom
COURSE FEE	€5,600

Course Overview

In today's increasingly regulated and transparent business environment, organizations are expected to operate with the highest standards of integrity, accountability, and ethical conduct. Regulatory bodies, stakeholders, customers, and investors are placing greater emphasis on organizational ethics and compliance as critical elements of sustainable success. As a result, ethics and compliance management have evolved from regulatory obligations into strategic business functions that support governance, risk management, reputation protection, and long-term organizational performance.

An effective ethics and compliance program helps organizations establish a culture of integrity, ensure adherence to legal and regulatory requirements, reduce misconduct risks, and strengthen stakeholder confidence. Organizations that successfully integrate ethical principles into their decision-making processes are better positioned to manage complex challenges, maintain public trust, and achieve their strategic objectives while minimizing legal, financial, and reputational risks.

The Ethics & Compliance Management Training Course provides participants with a comprehensive understanding of the principles, frameworks, and best practices required to develop, implement, and manage effective ethics and compliance programs. The course explores how organizations can create a strong ethical culture, establish compliance governance structures, manage compliance risks, and promote responsible business conduct throughout the organization.

Participants will examine the relationship between ethics, compliance, governance, and risk management while gaining practical insights into policy development, codes of conduct, compliance monitoring, reporting mechanisms, investigations, disciplinary processes, and continuous improvement initiatives. The course also emphasizes the critical role of leadership in fostering ethical behavior and maintaining a culture of compliance.

Through practical case studies, interactive workshops, real-world scenarios, and implementation-focused exercises, participants will develop the skills and knowledge necessary to strengthen ethics and compliance frameworks, improve organizational accountability, and support sustainable business excellence in both public and private sector environments.

Objectives

- Analyze the relationship between ethics, compliance, governance, and organizational performance.
- Evaluate the effectiveness of existing ethics and compliance programs within an organization.
- Apply ethical decision-making principles to business and operational activities.
- Develop comprehensive ethics and compliance frameworks aligned with organizational objectives.
- Design codes of conduct, policies, and procedures that promote ethical behavior.
- Implement compliance initiatives that support regulatory adherence and organizational integrity.
- Assess ethical and compliance risks affecting business operations and decision-making.
- Improve reporting, investigation, and issue management processes related to misconduct.
- Strengthen leadership capabilities in promoting ethics and compliance culture.
- Align ethics and compliance programs with governance and risk management frameworks.
- Develop performance indicators to measure ethics and compliance program effectiveness.
- Evaluate opportunities for continuous improvement in ethics and compliance management.

Who Should Attend

This course is designed for compliance officers, ethics officers, governance professionals, risk managers, internal auditors, legal advisors, regulatory affairs specialists, human resources professionals, and individuals responsible for ethics, integrity, and compliance oversight within their organizations.

The program is particularly beneficial for executives, senior managers, department heads, board members, compliance committee members, organizational development professionals, and leaders responsible for establishing ethical standards, managing compliance risks, and strengthening corporate governance practices.

It is also highly relevant for professionals working in government entities, ministries, public sector organizations, regulatory authorities, banks and financial institutions, oil and gas companies, multinational corporations, and large enterprises seeking to build a strong ethical culture and effective compliance management framework.

Learning Outcomes

- By the end of this course, participants will be able to:
- Explain the principles and importance of ethics and compliance management.
- Identify ethical and compliance risks within organizational operations.
- Evaluate the effectiveness of ethics and compliance frameworks.
- Develop codes of conduct and ethical governance policies.
- Apply ethical decision-making techniques to workplace situations.
- Design programs that promote integrity, accountability, and compliance.
- Establish effective reporting and whistleblowing mechanisms.
- Conduct assessments of ethics and compliance culture.
- Manage misconduct investigations and corrective action processes.
- Integrate ethics and compliance into governance and risk management systems.
- Measure and monitor ethics and compliance performance.
- Develop action plans to strengthen organizational ethics and compliance maturity.

Course Outline

DAY 01

Foundations of Ethics and Compliance Management

- Principles of ethics, integrity, and professional conduct
- The importance of ethics in organizational success
- Fundamentals of compliance management
- Relationship between ethics, compliance, governance, and risk management

PRACTICAL DISCUSSION

Ethical challenges in modern organizations

DAY 02**Building an Ethics and Compliance Framework**

- Designing ethics and compliance programs
- Developing codes of conduct and ethical standards
- Establishing policies, procedures, and governance structures
- Defining roles, responsibilities, and accountability mechanisms

PRACTICAL APPLICATION

Creating an ethics and compliance framework

DAY 03**Managing Ethics and Compliance Risks**

- Identifying ethics and compliance risks
- Risk assessment methodologies and evaluation techniques
- Compliance controls and preventive measures
- Addressing conflicts of interest and ethical dilemmas

PRACTICAL WORKSHOP

Ethics and compliance risk assessment exercise

DAY 04**Ethics Culture, Reporting, and Investigations**

- Building and sustaining an ethical organizational culture
- Leadership's role in promoting ethical behavior
- Whistleblowing systems and reporting mechanisms
- Managing investigations, misconduct cases, and disciplinary actions

PRACTICAL EXERCISE

Developing an ethics awareness and reporting program

DAY 05

Continuous Improvement and Organizational Excellence

- Monitoring and measuring ethics and compliance performance
- Key performance indicators and compliance metrics
- International best practices in ethics and compliance management
- Integrating ethics and compliance with enterprise governance frameworks

FINAL WORKSHOP

Developing an ethics and compliance improvement action plan

Register or Request More Information

Course page: <https://quest-training.com/courses/ethics-compliance-management-training-course>

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