

Course No. 1423

Enterprise Compliance Management Training Course

**COMPLIANCE, AML & FINANCIAL CRIME
FINANCE, INVESTMENT & BANKING**

DURATION

5 Days

LOCATION

Madrid, Spain

DELIVERY

Classroom

DATES

8 – 12 Feb 2027



Scheduled Event Details

CITY	Madrid, Spain
DATE	8 – 12 Feb 2027
DELIVERY TYPE	Classroom
COURSE FEE	€5,400

Course Overview

In today's dynamic regulatory environment, organizations face increasing pressure to comply with a wide range of legal, regulatory, ethical, and operational requirements. As regulatory expectations continue to evolve across industries and jurisdictions, organizations must adopt a structured and enterprise-wide approach to compliance management that goes beyond traditional control activities and supports sustainable business performance.

Enterprise compliance management has become a critical component of corporate governance, risk management, and organizational resilience. Effective compliance programs help organizations identify and manage regulatory obligations, mitigate compliance risks, protect their reputation, strengthen stakeholder confidence, and support strategic decision-making. Organizations that successfully embed compliance into their business operations are better positioned to achieve their objectives while maintaining accountability and regulatory integrity.

The Enterprise Compliance Management Training Course provides participants with a comprehensive understanding of the principles, frameworks, methodologies, and best practices required to establish, implement, and continuously improve enterprise-wide compliance management systems. The course examines how compliance functions interact with governance structures, risk management frameworks, internal controls, and business operations to create a culture of compliance throughout the organization.

Participants will explore key compliance management components, including compliance governance, regulatory obligations management, compliance risk assessment, policy management, monitoring and testing, reporting mechanisms, investigations, corrective actions, and continuous improvement processes. The course also highlights the importance of leadership engagement, organizational culture, and accountability in achieving effective compliance outcomes.

Through practical case studies, workshops, compliance assessments, and real-world examples, participants will develop the skills necessary to design and manage comprehensive compliance programs that align with organizational objectives, regulatory requirements, and international best practices while supporting long-term operational excellence.

Objectives

- Analyze the strategic role of enterprise compliance management in supporting governance and organizational performance.
- Evaluate compliance risks and regulatory obligations across organizational activities and business functions.
- Apply enterprise-wide compliance management principles to strengthen regulatory adherence.
- Develop comprehensive compliance management frameworks aligned with organizational objectives.
- Design compliance governance structures with clear accountability and oversight responsibilities.
- Implement risk-based compliance assessment and monitoring processes.
- Assess the effectiveness of compliance controls, policies, and procedures.
- Improve compliance reporting, escalation, and issue management practices.
- Strengthen organizational compliance culture through leadership and employee engagement.
- Align compliance activities with enterprise risk management and internal control frameworks.
- Develop performance indicators to measure compliance program effectiveness.
- Evaluate opportunities for continuous improvement within enterprise compliance programs.

Who Should Attend

This course is designed for compliance officers, compliance managers, governance professionals, risk managers, internal auditors, legal advisors, regulatory affairs specialists, ethics officers, and professionals responsible for compliance oversight and regulatory adherence.

The program is particularly beneficial for executives, senior managers, department heads, business unit leaders, compliance committee members, control function managers, and decision-makers responsible for governance, risk management, regulatory compliance, and organizational integrity initiatives.

It is also highly relevant for professionals working in government entities, ministries, regulatory authorities, public sector organizations, banks and financial institutions, oil and gas companies, multinational corporations, and large organizations seeking to strengthen compliance frameworks, improve governance practices, and enhance enterprise-wide compliance effectiveness.

Learning Outcomes

- By the end of this course, participants will be able to:
- Explain the core principles and components of enterprise compliance management.
- Identify and assess compliance obligations and regulatory requirements.
- Conduct compliance risk assessments using structured methodologies.
- Design and implement enterprise-wide compliance management frameworks.
- Develop compliance policies, procedures, and governance mechanisms.
- Establish monitoring and testing programs to evaluate compliance effectiveness.
- Create compliance reporting structures and escalation processes.
- Integrate compliance management with risk management and internal controls.
- Measure compliance performance using appropriate metrics and indicators.
- Promote a culture of compliance and ethical conduct across the organization.
- Manage compliance issues, investigations, and corrective actions effectively.
- Develop strategic plans for continuous improvement of compliance programs.

Course Outline

DAY 01

Foundations of Enterprise Compliance Management

- Principles and objectives of enterprise compliance management
- Regulatory environments and compliance obligations
- Compliance governance and organizational accountability
- The relationship between compliance, governance, and risk management

PRACTICAL DISCUSSION

Compliance challenges in modern organizations

DAY 02

Compliance Risk Management and Regulatory Obligations

- Identifying and managing regulatory requirements
- Compliance risk assessment methodologies
- Risk-based compliance management approaches
- Evaluating compliance exposure across business operations

PRACTICAL APPLICATION

Conducting a compliance risk assessment

DAY 03

Compliance Frameworks, Policies, and Controls

- Designing enterprise compliance frameworks
- Developing compliance policies and procedures
- Compliance controls and control effectiveness evaluation
- Roles and responsibilities within compliance programs

PRACTICAL WORKSHOP

Building a compliance management framework

DAY 04

Monitoring, Reporting, and Compliance Assurance

- Compliance monitoring and testing techniques
- Key compliance indicators and performance measurement
- Compliance reporting and escalation processes
- Managing compliance breaches and corrective actions

PRACTICAL EXERCISE

Developing compliance monitoring and reporting systems

DAY 05

Compliance Culture and Continuous Improvement

- Building and sustaining a culture of compliance
- Leadership responsibilities and ethical conduct
- Integrating compliance with enterprise risk management
- International best practices in enterprise compliance management

FINAL WORKSHOP

Developing an enterprise compliance management action plan

Register or Request More Information

Course page: <https://quest-training.com/courses/enterprise-compliance-management-training-course>

Website: <https://quest-training.com>

Email: info@quest-training.com

Phone: +905016771440