QUEST Professional Center

PROFESSIONAL TRAINING COURSE

Course No. 1416

Compliance Officer Development Programme

COMPLIANCE, AML & FINANCIAL CRIME
FINANCE, INVESTMENT & BANKING

DURATION **5 Days**	DELIVERY **Classroom**
LOCATION **Geneva, Switzerland**	DATES **16 – 20 Nov 2026**

Scheduled Event Details

CITY	Geneva, Switzerland
DATE	16 – 20 Nov 2026
DELIVERY TYPE	Classroom
COURSE FEE	€6,300

Course Overview

The Compliance Officer Development Programme is a comprehensive professional training course designed to equip compliance professionals with the knowledge, skills, and practical tools required to manage modern compliance functions effectively. As organizations operate within increasingly complex regulatory environments, compliance officers play a critical role in ensuring adherence to legal, regulatory, ethical, and corporate governance requirements while supporting strategic business objectives. This programme provides a structured framework for developing competent compliance professionals capable of protecting organizational integrity and enhancing stakeholder confidence.

Effective compliance management has become a strategic necessity for government entities, financial institutions, energy companies, multinational corporations, and public sector organizations. Regulatory expectations continue to evolve, requiring organizations to strengthen compliance frameworks, implement risk-based monitoring processes, and demonstrate accountability across all levels of the organization. This course enables participants to understand the responsibilities of a modern compliance officer and apply international best practices to support sustainable organizational performance.

The programme focuses on key areas of compliance governance, regulatory compliance management, risk assessment, internal controls, ethics, corporate conduct, compliance monitoring, reporting, and investigation processes. Participants will gain practical insights into how compliance functions contribute to operational resilience, risk mitigation, reputation management, and long-term organizational success.

Through practical workshops, case studies, risk scenarios, and implementation exercises, participants will develop the confidence to establish, maintain, and enhance compliance programmes that align with organizational objectives and regulatory obligations. The course emphasizes both strategic and operational aspects of compliance, making it relevant for professionals responsible for compliance oversight, governance, risk management, internal controls, and ethical business conduct.

Objectives

- Analyze the core responsibilities, duties, and accountability requirements of compliance officers within complex organizational environments by the end of the programme.
- Evaluate compliance risks using structured assessment methodologies and develop risk-based compliance priorities during course workshops.
- Develop comprehensive compliance frameworks that align with regulatory requirements, organizational objectives, and governance standards.
- Apply compliance monitoring and testing techniques to assess adherence to policies, procedures, and regulatory obligations throughout practical exercises.
- Design effective compliance reporting processes that support informed decision-making and regulatory transparency.
- Assess internal control systems and identify opportunities for strengthening compliance effectiveness and organizational accountability.
- Implement compliance investigation and issue-management processes using structured methodologies and documented procedures.
- Improve organizational compliance culture through ethics programmes, awareness initiatives, and stakeholder engagement strategies.
- Strengthen regulatory change management capabilities by establishing structured approaches to identifying and responding to new requirements.
- Align compliance activities with enterprise risk management, corporate governance, and business performance objectives.
- Develop measurable compliance action plans that can be applied within participants' organizations following completion of the course.
- Evaluate compliance programme performance using key indicators, monitoring tools, and continuous improvement techniques.

Who Should Attend

This Compliance Officer Development Programme is designed for compliance officers, compliance managers, regulatory affairs professionals, governance specialists, ethics officers, risk management professionals, internal control specialists, internal auditors, legal advisors, and professionals responsible for organizational compliance activities. It is particularly valuable for individuals seeking to strengthen their understanding of compliance frameworks, regulatory obligations, and organizational accountability.

The course is also highly relevant for managers and leaders within government ministries, regulatory authorities, public sector organizations, banks, financial institutions, oil and gas companies, utilities, telecommunications organizations, healthcare institutions, and multinational corporations. Department heads and functional managers responsible for implementing compliance requirements within their business units will benefit from the practical tools and methodologies covered throughout the programme.

Senior executives, board support professionals, governance practitioners, corporate affairs managers, enterprise risk managers, and decision makers responsible for oversight, governance, and regulatory compliance will gain valuable insights into developing effective compliance programmes that support strategic objectives while reducing regulatory, operational, and reputational risks.

Learning Outcomes

- By the end of this Compliance Officer Development Programme, participants will be able to:
- Explain the strategic role and responsibilities of a compliance officer within modern organizations.
- Conduct structured compliance risk assessments and prioritize regulatory risks effectively.
- Develop and implement compliance frameworks aligned with organizational and regulatory requirements.
- Design compliance monitoring, testing, and reporting mechanisms to support ongoing assurance activities.
- Evaluate the effectiveness of internal controls supporting regulatory compliance obligations.
- Establish procedures for managing compliance breaches, investigations, and corrective actions.

- Integrate compliance activities with risk management, governance, and business objectives.
- Build compliance awareness initiatives that promote ethical conduct and accountability across the organization.
- Develop regulatory change management processes that support timely compliance responses.
- Produce meaningful compliance reports and dashboards for management and governance bodies.
- Identify gaps within existing compliance programmes and recommend practical improvement actions.
- Create an actionable compliance enhancement plan suitable for implementation within their own organization.

Course Outline

DAY 01

Foundations of Compliance Management and Regulatory Governance

- Understanding the role of the Compliance Officer
- Principles of compliance management and corporate accountability
- Regulatory frameworks and organizational obligations
- Governance structures supporting compliance effectiveness

PRACTICAL APPLICATION

Assessing compliance responsibilities within organizational structures

DAY 02

Compliance Risk Assessment and Internal Control Frameworks

- Compliance risk identification and classification techniques
- Conducting compliance risk assessments and gap analyses
- Risk-based compliance planning and prioritization
- Internal controls and compliance assurance mechanisms

DAY 02 — CONTINUED

> **PRACTICAL APPLICATION**
> Compliance risk assessment workshop and case study analysis

DAY 03

Compliance Monitoring, Reporting, and Regulatory Oversight

- Developing compliance monitoring and testing programmes
- Compliance performance measurement and key indicators
- Effective compliance reporting for management and governance bodies
- Regulatory inspections, reviews, and stakeholder engagement

> **PRACTICAL APPLICATION**
> Designing compliance monitoring plans and reporting frameworks

DAY 04

Ethics, Investigations, and Compliance Culture

- Building ethical cultures and promoting organizational integrity
- Codes of conduct and ethical decision-making frameworks
- Managing compliance incidents, breaches, and investigations
- Corrective action planning and issue remediation processes

> **PRACTICAL APPLICATION**
> Investigation scenarios and organizational ethics case discussions

DAY 05

Compliance Programme Enhancement and Strategic Implementation

- Compliance programme evaluation and maturity assessment
- Regulatory change management and compliance adaptation strategies

DAY 05 — CONTINUED

- Aligning compliance with enterprise risk management and governance objectives
- Continuous improvement and compliance programme sustainability

FINAL WORKSHOP

Developing a comprehensive compliance improvement and implementation action plan

Register or Request More Information

Course page: https://quest-training.com/courses/compliance-officer-development-programme
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