

Course No. 1103

Board Governance in Financial Institutions

**BANKING GOVERNANCE, AUDIT & REGULATORY FRAMEWORKS
FINANCE, INVESTMENT & BANKING**

DURATION

5 Days

LOCATION

Munich, Germany

DELIVERY

Classroom

DATES

16 – 20 Nov 2026



Scheduled Event Details

CITY	Munich, Germany
DATE	16 – 20 Nov 2026
DELIVERY TYPE	Classroom
COURSE FEE	€5,400

Course Overview

Board Governance in Financial Institutions is a comprehensive professional training course designed to equip board members, senior executives, governance professionals, board secretaries, committee members, risk leaders, compliance officers, and internal auditors with the strategic knowledge and practical skills required to strengthen board effectiveness and implement sound governance practices in accordance with international standards and regulatory expectations. As financial institutions operate within an increasingly complex regulatory environment, effective board governance has become essential for ensuring accountability, strategic oversight, sustainable growth, sound risk management, and long-term stakeholder confidence.

The course provides participants with a comprehensive understanding of governance principles and the critical responsibilities of boards of directors within financial institutions. Participants will explore board structures, director responsibilities, board independence, committee effectiveness, governance policies, strategic oversight, board performance evaluation, succession planning, executive accountability, ethical leadership, and organizational culture. The program emphasizes the board's role in establishing effective governance frameworks that support sound decision-making, financial resilience, and operational excellence.

The program further explores the relationship between Board Governance in Financial Institutions and Enterprise Risk Management (ERM), Corporate Governance, Internal Controls, Basel III and Basel IV, Regulatory Compliance, Anti-Money Laundering (AML), Counter-Terrorist Financing (CTF), Internal Audit, Operational Risk Management, Cybersecurity Governance, Information Technology (IT) Governance, Digital Banking Transformation, Business Continuity Management, Crisis Management, Environmental, Social, and Governance (ESG) principles, and organizational performance management. Participants will strengthen their ability to provide effective oversight while aligning governance with strategic priorities and regulatory requirements.

Through interactive workshops, governance case studies, board simulations, committee exercises, governance assessments, strategic decision-making scenarios, policy development activities, and board performance evaluations, participants will develop practical capabilities to enhance board effectiveness, strengthen governance oversight, improve regulatory compliance, and support organizational resilience. By the end of the course, participants will be equipped to lead governance initiatives that promote transparency, accountability, ethical leadership, and sustainable institutional success.

Objectives

- Analyze Board Governance in Financial Institutions principles and evaluate their impact on organizational performance, governance effectiveness, and long-term sustainability by the end of the course.
- Develop governance frameworks that align board responsibilities with international standards and regulatory expectations.
- Evaluate board structures, committee effectiveness, director responsibilities, and governance practices.
- Apply governance principles to strengthen strategic oversight, accountability, and organizational performance.
- Design governance structures that support transparency, ethical leadership, and effective decision-making.
- Improve board oversight through enhanced risk governance, compliance monitoring, and internal control supervision.
- Strengthen governance by integrating AML controls, CTF measures, regulatory compliance, internal audit oversight, and enterprise risk management into board responsibilities.
- Implement Key Performance Indicators (KPIs), Key Risk Indicators (KRIs), and board performance metrics to evaluate governance effectiveness.
- Assess the impact of Digital Banking Transformation, cybersecurity, artificial intelligence, ESG requirements, and emerging technologies on board governance responsibilities.
- Align board governance initiatives with corporate strategy, organizational risk appetite, regulatory requirements, and sustainable institutional growth before course completion.

Who Should Attend

This course is designed for Board Members, Board Chairpersons, Chief Executive Officers, Executive Directors, Independent Directors, Board Secretaries, Governance Managers, Audit Committee Members, Risk Committee Members, Nomination and Remuneration Committee Members, Chief Risk Officers, Compliance Managers, Internal Audit Executives, Legal Advisors, Senior Executives, and professionals responsible for corporate governance, strategic oversight, and regulatory compliance within financial institutions.

The program is equally valuable for professionals working in central banks, financial supervisory authorities, ministries, government entities, commercial banks, investment banks, Islamic banks, insurance companies, financial technology organizations, consulting firms, and decision-makers responsible for governance, enterprise risk management, institutional oversight, regulatory compliance, and strategic leadership.

Learning Outcomes

- By the end of this course, participants will be able to:
- Apply international best practices in board governance within financial institutions.
- Evaluate board effectiveness, committee performance, and governance structures.
- Strengthen board oversight of enterprise risk management, regulatory compliance, and internal controls.
- Develop governance policies and frameworks that support accountability and ethical leadership.
- Integrate AML, CTF, regulatory compliance, and enterprise risk management into board oversight responsibilities.
- Utilize KPIs, KRIs, and board performance metrics to monitor governance effectiveness.
- Assess strategic, operational, digital, cybersecurity, and emerging risks that influence board decision-making.
- Prepare professional board reports, governance assessments, and committee documentation.
- Support boards in making informed strategic decisions that strengthen governance and organizational resilience.
- Develop a practical implementation roadmap that enhances board governance, strengthens oversight responsibilities, improves regulatory compliance, reinforces risk governance, supports organizational resilience, and promotes sustainable institutional excellence.

Course Outline

DAY 01

Foundations of Board Governance

- Principles of corporate governance in financial institutions
- Roles and responsibilities of the board of directors
- Board composition, independence, and effectiveness
- Governance committees and their responsibilities

PRACTICAL APPLICATION OR DISCUSSION

Assessing board governance maturity within a financial institution

DAY 02

Strategic Oversight and Risk Governance

- Board responsibilities for strategic planning
- Enterprise Risk Management (ERM) oversight
- Risk appetite and governance culture
- Capital, liquidity, and financial resilience oversight

PRACTICAL APPLICATION OR DISCUSSION

Evaluating board decisions related to strategic and enterprise risks

DAY 03

Compliance, Internal Controls, and Assurance

- Regulatory compliance oversight
- Internal audit and audit committee responsibilities
- Internal control frameworks and governance
- AML, CTF, and fraud risk oversight

DAY 03 — CONTINUED

PRACTICAL APPLICATION OR DISCUSSION

Reviewing governance, audit, and compliance reports presented to the board

DAY 04**Digital Governance and Sustainable Leadership**

- Digital Banking Transformation oversight
- Cybersecurity and IT governance
- ESG governance and sustainability reporting
- KPIs, KRIs, and board performance measurement

PRACTICAL APPLICATION OR DISCUSSION

Developing an executive board governance dashboard

DAY 05**Board Excellence and Future Governance**

- Board performance evaluation and continuous improvement
- Governance culture, ethics, and accountability
- Emerging trends in board governance for financial institutions
- Regulatory readiness and governance resilience

FINAL WORKSHOP

Developing a comprehensive Board Governance in Financial Institutions implementation roadmap that includes governance framework enhancement, board committee effectiveness, enterprise risk oversight, regulatory compliance, AML and CTF oversight, internal audit coordination, internal control governance, cybersecurity governance, Digital Banking Transformation oversight, ESG integration, KPI and KRI measurement, board performance evaluation, governance reporting, succession planning, organizational change management, and continuous improvement initiatives to strengthen board effectiveness, improve strategic oversight, enhance governance quality, support regulatory compliance, reinforce organizational resilience, and achieve sustainable institutional growth.



Register or Request More Information

Course page: <https://quest-training.com/courses/board-governance-in-financial-institutions>

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