QUEST
Professional Center

PROFESSIONAL TRAINING COURSE

Course No. 1100

Basel III & Basel IV

BANKING GOVERNANCE, AUDIT & REGULATORY FRAMEWORKS
FINANCE, INVESTMENT & BANKING

DURATION
5 Days

DELIVERY
Classroom

Course Overview

Basel III & Basel IV is a comprehensive professional training course designed to equip banking executives, risk managers, compliance professionals, finance specialists, treasury managers, regulators, auditors, and decision-makers with the strategic knowledge and practical skills required to understand and implement the latest Basel regulatory frameworks. As global banking regulations continue to evolve following financial crises, economic uncertainty, and increasing supervisory expectations, Basel III and Basel IV have become fundamental pillars for strengthening financial stability, enhancing risk management, improving capital adequacy, and promoting resilient banking systems.

The course provides participants with an in-depth understanding of the Basel III and Basel IV frameworks, including capital adequacy requirements, leverage ratio, liquidity standards, capital buffers, supervisory review, disclosure requirements, and the revised approaches to measuring credit, operational, and market risks. Participants will examine the practical implications of Basel reforms on banking operations, lending strategies, capital planning, profitability, regulatory reporting, and long-term business sustainability.

The program also explores the relationship between Basel III & Basel IV and Enterprise Risk Management (ERM), Corporate Governance, Regulatory Compliance, Internal Capital Adequacy Assessment Process (ICAAP), Internal Liquidity Adequacy Assessment Process (ILAAP), Stress Testing, Recovery Planning, Operational Resilience, Anti-Money Laundering (AML), Counter-Terrorist Financing (CTF), Treasury Management, Credit Risk Management, Market Risk Management, Liquidity Risk Management, Digital Banking Transformation, Environmental, Social, and Governance (ESG) considerations, and strategic performance management. Participants will gain practical insights into aligning regulatory requirements with business strategy while maintaining financial strength and regulatory compliance.

Through interactive workshops, Basel implementation case studies, capital adequacy simulations, liquidity management exercises, regulatory reporting activities, stress testing scenarios, and practical implementation planning, participants will strengthen their ability to interpret Basel requirements, assess organizational readiness, manage regulatory challenges, and support sustainable banking growth. By the end of the course, participants will be equipped to contribute effectively to Basel implementation initiatives, improve risk governance, optimize capital utilization, and enhance institutional resilience.

Objectives

- Analyze Basel III & Basel IV frameworks and evaluate their impact on banking operations, financial stability, and organizational performance by the end of the course.
- Develop strategic approaches for implementing Basel requirements across banking functions.
- Evaluate capital adequacy, leverage ratio, liquidity requirements, and capital buffer frameworks.
- Apply Basel methodologies to credit risk, market risk, operational risk, and liquidity risk management.
- Design governance frameworks that support effective Basel implementation and regulatory compliance.
- Improve strategic decision-making through capital planning, liquidity management, and regulatory impact assessment.
- Strengthen governance through ICAAP, ILAAP, stress testing, recovery planning, AML controls, CTF measures, and regulatory reporting.
- Implement Key Performance Indicators (KPIs), Key Risk Indicators (KRIs), capital adequacy ratios, liquidity metrics, and regulatory performance measures to monitor Basel compliance.
- Assess the impact of Digital Banking Transformation, financial innovation, ESG requirements, and emerging regulatory developments on Basel implementation.
- Align Basel III and Basel IV initiatives with enterprise strategy, risk appetite, regulatory expectations, operational resilience, and sustainable banking growth before course completion.

Who Should Attend

This course is designed for Chief Risk Officers, Risk Managers, Compliance Managers, Treasury Managers, Finance Managers, Capital Management Specialists, Regulatory Reporting Professionals, Internal Auditors, Financial Controllers, Credit Risk Managers, Market Risk Managers, Operational Risk Managers, Liquidity Risk Specialists, Governance Professionals, Senior Executives, Board Members, and professionals responsible for Basel implementation within financial institutions.

The program is equally valuable for professionals working in central banks, financial supervisory authorities, ministries, government entities, commercial banks, investment banks, Islamic banks, development banks, consulting firms, financial technology organizations, insurance companies, and decision-makers responsible for regulatory compliance, enterprise risk management, capital planning, financial stability, and institutional governance.

Learning Outcomes

- By the end of this course, participants will be able to:
- Interpret Basel III and Basel IV regulatory requirements and their implications for banking institutions.
- Evaluate capital adequacy, leverage ratio, liquidity coverage ratio, and net stable funding ratio requirements.
- Apply Basel approaches to credit risk, market risk, operational risk, and liquidity risk measurement.
- Develop Basel implementation strategies aligned with regulatory expectations and business objectives.
- Strengthen governance through ICAAP, ILAAP, stress testing, recovery planning, and regulatory reporting.
- Measure Basel compliance using KPIs, KRIs, capital ratios, liquidity indicators, and regulatory performance metrics.
- Assess the impact of Basel reforms on lending, profitability, capital allocation, and strategic planning.
- Prepare organizations for supervisory reviews and regulatory examinations.
- Advise senior management on Basel implementation priorities, governance improvements, and regulatory developments.
- Develop a practical implementation roadmap that strengthens capital management, improves liquidity resilience, enhances governance, supports regulatory compliance, optimizes risk management, and promotes sustainable banking performance.

Course Outline

DAY 01

Foundations of Basel III & Basel IV

- Evolution of international banking regulation
- Overview of Basel III and Basel IV frameworks
- Regulatory objectives and supervisory expectations
- Corporate governance and Basel implementation

DAY 01 — CONTINUED

> **PRACTICAL APPLICATION OR DISCUSSION**
> Assessing Basel readiness within a banking institution

DAY 02

Capital Adequacy and Liquidity Management

- Capital adequacy framework and regulatory capital
- Capital buffers and leverage ratio
- Liquidity Coverage Ratio (LCR)
- Net Stable Funding Ratio (NSFR)

> **PRACTICAL APPLICATION OR DISCUSSION**
> Evaluating capital and liquidity adequacy

DAY 03

Risk Management under Basel Frameworks

- Credit risk measurement approaches
- Market risk and operational risk frameworks
- ICAAP and ILAAP methodologies
- Stress testing and recovery planning

> **PRACTICAL APPLICATION OR DISCUSSION**
> Performing a Basel-based risk assessment

DAY 04

Regulatory Compliance and Performance Measurement

- Basel reporting requirements and supervisory review process
- Regulatory compliance and governance integration
- KPIs, KRIs, capital metrics, and liquidity performance indicators

DAY 04 — CONTINUED

- Basel implications of Digital Banking Transformation and ESG

PRACTICAL APPLICATION OR DISCUSSION

Preparing Basel compliance reports and executive dashboards

DAY 05

Strategic Basel Implementation

- Enterprise-wide Basel implementation strategy
- Organizational change management and regulatory readiness
- Emerging developments in global banking regulation
- Continuous improvement and regulatory resilience

FINAL WORKSHOP

Developing a comprehensive Basel III & Basel IV implementation roadmap that includes capital adequacy planning, liquidity management, ICAAP, ILAAP, stress testing, recovery planning, credit, market and operational risk management, regulatory reporting, governance enhancement, KPI and KRI measurement, Digital Banking Transformation alignment, ESG integration, organizational change management, and continuous improvement initiatives to strengthen financial resilience, improve regulatory compliance, optimize capital utilization, enhance risk governance, and support sustainable banking growth.

Register or Request More Information

Course page: https://quest-training.com/courses/basel-iii-basel-iv
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