QUEST TRAINING

Basel Framework & Banking Risk Management

Risk Management · Banking & Finance · 5 Days · Classroom

OVERVIEW

Basel Framework & Banking Risk Management is a comprehensive professional training course designed to provide banking and financial professionals with an in-depth understanding of the Basel regulatory framework and its practical application in modern banking risk management. As financial institutions operate in an increasingly complex regulatory environment characterized by evolving risks, heightened supervisory expectations, digital transformation, and global financial uncertainty, a strong understanding of the Basel Framework has become essential for strengthening risk governance, maintaining financial stability, protecting capital, and ensuring long-term organizational resilience.Ð
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This course provides participants with a structured understanding of the evolution and objectives of the Basel Framework, including Basel I, Basel II, and Basel III, while examining current regulatory developments affecting banking institutions. Participants will explore how the Basel standards support effective Banking Risk Management through enhanced capital adequacy, liquidity management, leverage control, supervisory review, market discipline, and enterprise-wide risk governance. The program emphasizes the strategic integration of regulatory compliance with sustainable business growth and prudent risk-taking.Ð
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The course also examines the application of Basel principles across key banking risk categories, including credit risk, market risk, operational risk, liquidity risk, interest rate risk in the banking book, capital management, stress testing, and enterprise risk management. Participants will gain practical knowledge of capital adequacy calculations, risk-weighted assets, liquidity standards, governance frameworks, supervisory expectations, and regulatory reporting while understanding how these components contribute to sound banking operations and organizational resilience.Ð
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Through practical case studies, regulatory scenarios, and interactive workshops, participants will strengthen their ability to interpret Basel requirements, assess regulatory impacts, improve internal governance, and enhance banking risk management practices. By the end of the course, participants will be equipped to support regulatory compliance, strengthen organizational risk management frameworks, improve capital and liquidity planning, and contribute to sustainable financial performance within banking and financial institutions.

OBJECTIVES

- Analyze the evolution, principles, and objectives of the Basel Framework and evaluate its application within banking institutions by the end of the course.
- Develop a comprehensive understanding of Basel I, Basel II, and Basel III requirements and their impact on Banking Risk Management.
- Evaluate capital adequacy, risk-weighted assets, leverage requirements, and regulatory capital

planning using practical banking scenarios.
- Apply Basel principles to the management of credit risk, market risk, operational risk, liquidity risk, and interest rate risk in the banking book.
- Design governance frameworks that support regulatory compliance, effective risk oversight, and prudent decision-making.
- Improve banking risk management practices through the implementation of Basel-aligned internal controls, policies, and reporting frameworks.
- Strengthen liquidity and capital planning by applying Liquidity Coverage Ratio (LCR), Net Stable Funding Ratio (NSFR), and capital adequacy requirements.
- Implement stress testing, scenario analysis, and supervisory review processes consistent with Basel expectations.
- Assess emerging regulatory developments and their implications for banking operations, governance, and enterprise risk management.
- Align Basel Framework implementation with Enterprise Risk Management, strategic objectives, and sustainable organizational performance before course completion.

WHO SHOULD ATTEND

This course is designed for Chief Risk Officers, Enterprise Risk Managers, Credit Risk Managers, Market Risk Managers, Operational Risk Managers, Liquidity Risk Managers, Compliance Managers, Regulatory Affairs Specialists, Internal Audit Managers, Internal Control Managers, Treasury Managers, Capital Management Specialists, Asset and Liability Management (ALM) Managers, Governance Professionals, and banking supervisors responsible for implementing regulatory frameworks within financial institutions.Ð
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The program is equally valuable for Chief Financial Officers, Chief Compliance Officers, Chief Internal Auditors, Executive Management Teams, Board Risk Committee members, Asset and Liability Committee (ALCO) members, Finance Managers, Treasury Executives, Strategy Managers, Central Bank Professionals, Banking Regulators, Financial Analysts, and senior decision-makers responsible for regulatory compliance, capital planning, governance, and enterprise-wide Banking Risk Management.

LEARNING OUTCOMES

- By the end of this course, participants will be able to:
- Explain the evolution and core principles of the Basel Framework and its role in Banking Risk Management.
- Interpret Basel I, Basel II, and Basel III requirements and assess their organizational impact.
- Evaluate capital adequacy, risk-weighted assets, and leverage requirements using Basel methodologies.
- Apply Basel principles to credit, market, operational, liquidity, and interest rate risk management.
- Assess Liquidity Coverage Ratio (LCR), Net Stable Funding Ratio (NSFR), and capital planning requirements.
- Develop governance structures that support regulatory compliance and effective risk oversight.
- Integrate stress testing and scenario analysis into Basel-based risk management frameworks.
- Prepare regulatory reports and management information aligned with Basel supervisory expectations.
- Evaluate emerging regulatory developments and recommend improvements to organizational risk management practices.

• Develop an implementation roadmap for strengthening Basel Framework compliance and Banking Risk Management capabilities within their institutions.

COURSE OUTLINE

1. Course Outline:
2. Day 1: Foundations of the Basel Framework
3. Foundations of the Basel Framework
4. Evolution of Basel I, Basel II, and Basel III
5. Objectives and principles of the Basel Framework
6. International regulatory environment and supervisory expectations
7. The relationship between Basel standards and Banking Risk Management
8. Practical application: Assessing Basel implementation within a banking institution
9. Day 2: Capital Adequacy and Risk Measurement
10. Capital Adequacy and Risk Measurement
11. Regulatory capital and capital adequacy requirements
12. Risk-Weighted Assets (RWA)
13. Leverage Ratio framework
14. Capital planning and capital management
15. Practical application: Calculating regulatory capital and evaluating capital adequacy
16. Day 3: Basel Framework and Banking Risk Management
17. Basel Framework and Banking Risk Management
18. Credit risk under the Basel Framework
19. Market risk and operational risk requirements
20. Liquidity risk management under Basel III
21. Interest Rate Risk in the Banking Book (IRRBB)
22. Practical application: Applying Basel principles to integrated banking risk management
23. Day 4: Governance, Supervision, and Regulatory Compliance
24. Governance, Supervision, and Regulatory Compliance
25. Supervisory Review Process (Pillar 2)
26. Market Discipline and regulatory disclosure (Pillar 3)
27. Enterprise Risk Management and governance integration
28. Stress testing and scenario analysis under Basel expectations
29. Practical application: Preparing Basel compliance reports and governance recommendations
30. Day 5: Building a Basel-Aligned Risk Management Framework
31. Building a Basel-Aligned Risk Management Framework
32. Strengthening governance and risk culture
33. Regulatory developments and future Basel priorities
34. Continuous improvement of Banking Risk Management practices
35. Basel implementation challenges and organizational readiness
36. Final workshop: Developing a comprehensive Basel Framework & Banking Risk Management implementation plan that includes capital adequacy planning, Risk-Weighted Asset management, liquidity standards, governance enhancements, stress testing, regulatory reporting, internal control improvements, supervisory compliance, and continuous improvement initiatives to strengthen financial resilience, regulatory compliance, sustainable growth, and organizational performance.

Register or Request More Information

Course page: http://localhost:3000/courses/basel-framework-banking-risk-management
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