QUEST
Professional Center

PROFESSIONAL TRAINING COURSE

Course No. 1099

Banking Regulatory Frameworks

BANKING GOVERNANCE, AUDIT & REGULATORY FRAMEWORKS
FINANCE, INVESTMENT & BANKING

DURATION
5 Days

DELIVERY
Classroom

LOCATION
Lisbon, Portugal

DATES
28 Dec 2026 – 1 Jan 2027

Scheduled Event Details

CITY	Lisbon, Portugal
DATE	28 Dec 2026 – 1 Jan 2027
DELIVERY TYPE	Classroom
COURSE FEE	€5,400

Course Overview

Banking Regulatory Frameworks is a comprehensive professional training course designed to equip banking executives, compliance professionals, risk managers, auditors, legal specialists, and regulatory practitioners with the knowledge and practical skills required to understand, interpret, and implement banking regulatory requirements within modern financial institutions. As the global regulatory landscape continues to evolve in response to financial innovation, digital transformation, financial crime risks, and economic uncertainty, banks must maintain robust regulatory frameworks that promote financial stability, sound governance, operational resilience, and customer confidence.

The course provides participants with a comprehensive understanding of the regulatory environment governing banking institutions at both domestic and international levels. Participants will examine the roles and responsibilities of central banks, financial supervisory authorities, and international standard-setting bodies, while exploring prudential regulation, licensing requirements, capital adequacy, liquidity standards, corporate governance, consumer protection, conduct risk, regulatory reporting, and supervisory review processes. The program also explains how regulatory requirements influence strategic decision-making, operational processes, and institutional performance.

The program further explores the relationship between Banking Regulatory Frameworks and Basel III and Basel IV reforms, Enterprise Risk Management (ERM), Corporate Governance, Regulatory Compliance, Anti-Money Laundering (AML), Counter-Terrorist Financing (CTF), Operational Risk Management, Credit Risk Management, Market Risk Management, Liquidity Risk Management, Internal Audit, Financial Crime Prevention, Digital Banking Transformation, Cybersecurity, Data Governance, Environmental, Social, and Governance (ESG) principles, and Business Continuity Management. Participants will strengthen their ability to interpret regulatory expectations, assess compliance obligations, and establish effective governance and control frameworks.

Through interactive workshops, regulatory case studies, compliance assessments, supervisory review simulations, governance evaluations, implementation planning exercises, and practical banking scenarios, participants will develop the capabilities required to support regulatory compliance, improve governance practices, strengthen internal controls, and enhance institutional resilience. By the end of the course, participants will be equipped to align banking operations with regulatory expectations, reduce compliance risks, improve organizational performance, and support sustainable growth within the financial sector.

Objectives

- Analyze Banking Regulatory Frameworks and evaluate their impact on banking operations, governance, and organizational performance by the end of the course.
- Develop strategies for implementing regulatory requirements across banking functions and business activities.
- Evaluate prudential regulations, supervisory expectations, and international banking standards applicable to financial institutions.
- Apply regulatory principles to strengthen governance, compliance, and enterprise risk management practices.
- Design effective regulatory compliance frameworks that support operational resilience and sound banking practices.
- Improve decision-making through regulatory impact assessments and compliance monitoring activities.
- Strengthen governance by integrating AML controls, CTF requirements, consumer protection standards, and regulatory reporting obligations.
- Implement Key Performance Indicators (KPIs), Key Risk Indicators (KRIs), and compliance metrics to monitor regulatory effectiveness and organizational performance.
- Assess the regulatory implications of Digital Banking Transformation, cybersecurity, financial innovation, artificial intelligence, ESG requirements, and emerging technologies.
- Align regulatory compliance initiatives with corporate strategy, risk appetite, governance objectives, and sustainable banking growth before course completion.

Who Should Attend

This course is designed for Compliance Managers, Regulatory Affairs Managers, Risk Managers, Internal Auditors, Legal Advisors, Corporate Governance Professionals, Operational Risk Specialists, Credit Risk Managers, Treasury Managers, AML Professionals, Financial Crime Specialists, Banking Operations Managers, Regulatory Reporting Specialists, Financial Controllers, Digital Banking Managers, Senior Executives, Board Members, and professionals responsible for regulatory compliance within banking institutions.

The program is equally valuable for professionals working in central banks, financial supervisory authorities, ministries, government entities, public sector organizations, commercial banks, investment banks, Islamic banks, insurance companies, consulting firms, financial technology organizations, and decision-makers responsible for governance, compliance, risk management, regulatory policy, and institutional oversight.

Learning Outcomes

- By the end of this course, participants will be able to:
- Interpret and apply key banking regulatory frameworks governing financial institutions.
- Evaluate regulatory requirements related to capital adequacy, liquidity, governance, and risk management.
- Develop regulatory compliance programs aligned with supervisory expectations and international standards.
- Strengthen governance through effective compliance monitoring, internal controls, and regulatory reporting.
- Apply AML, CTF, consumer protection, and financial crime prevention requirements within banking operations.
- Assess regulatory risks associated with Digital Banking Transformation, cybersecurity, artificial intelligence, and emerging financial technologies.
- Measure compliance performance using KPIs, KRIs, and regulatory effectiveness indicators.
- Prepare organizations for regulatory examinations, supervisory reviews, and compliance assessments.
- Advise senior management on regulatory developments, governance improvements, and strategic compliance initiatives.

- Develop a practical implementation roadmap that strengthens governance, improves regulatory compliance, enhances operational resilience, reduces compliance risks, supports sound risk management, and promotes sustainable banking performance.

Course Outline

DAY 01

Foundations of Banking Regulation

- Banking regulatory environment and supervisory architecture
- Roles of central banks and financial regulatory authorities
- International regulatory standards and banking regulations
- Corporate governance within regulated financial institutions

> PRACTICAL APPLICATION OR DISCUSSION
>
> Mapping the banking regulatory landscape

DAY 02

Prudential Regulation and Risk Oversight

- Capital adequacy and Basel regulatory frameworks
- Liquidity requirements and funding regulations
- Enterprise Risk Management and regulatory expectations
- Regulatory reporting and supervisory review processes

> PRACTICAL APPLICATION OR DISCUSSION
>
> Assessing regulatory compliance within a banking institution

DAY 03

Compliance, Financial Crime, and Consumer Protection

- Regulatory compliance management frameworks
- Anti-Money Laundering (AML) and Counter-Terrorist Financing (CTF)

DAY 03 — CONTINUED

- Consumer protection and conduct risk requirements
- Financial crime prevention and regulatory enforcement

PRACTICAL APPLICATION OR DISCUSSION

Evaluating compliance risks and regulatory controls

DAY 04

Digital Regulation and Emerging Challenges

- Digital Banking Transformation and regulatory implications
- Cybersecurity regulations and technology risk governance
- Data governance, privacy, and information security requirements
- ESG regulations and sustainable finance initiatives

PRACTICAL APPLICATION OR DISCUSSION

Developing regulatory responses to emerging banking risks

DAY 05

Strategic Regulatory Compliance and Institutional Excellence

- Building an enterprise-wide regulatory compliance framework
- Governance, regulatory culture, and accountability
- Emerging trends in banking regulation and financial supervision
- Continuous improvement and regulatory readiness

DAY 05 — CONTINUED

> **FINAL WORKSHOP**
>
> Developing a comprehensive Banking Regulatory Frameworks implementation roadmap that includes governance enhancement, regulatory compliance management, Basel implementation, enterprise risk integration, AML and CTF controls, regulatory reporting, consumer protection, cybersecurity governance, Digital Banking Transformation oversight, KPI and KRI measurement, supervisory engagement, organizational change management, and continuous improvement initiatives to strengthen governance, improve regulatory compliance, enhance operational resilience, reduce compliance risks, and support sustainable banking growth.

Register or Request More Information

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