QUEST
Professional Center

PROFESSIONAL TRAINING COURSE

Course No. 1046

AML Investigations & Financial Intelligence

COMPLIANCE, AML & FINANCIAL CRIME
FINANCE, INVESTMENT & BANKING

DURATION **5 Days**	DELIVERY **Classroom**
LOCATION **Kuala Lumpur, Malaysia**	DATES **21 – 25 Sept 2026**

Scheduled Event Details

CITY	Kuala Lumpur, Malaysia
DATE	21 – 25 Sept 2026
DELIVERY TYPE	Classroom
COURSE FEE	€4,400

Course Overview

AML Investigations & Financial Intelligence is a comprehensive professional training course designed to equip banking and financial professionals with the knowledge, investigative techniques, and analytical skills required to conduct effective Anti-Money Laundering (AML) investigations and utilize financial intelligence to combat financial crime. As money laundering schemes, terrorist financing networks, and complex financial crimes continue to evolve through digital channels and cross-border transactions, financial institutions require highly skilled professionals capable of identifying suspicious activities, conducting structured investigations, and transforming financial data into actionable intelligence that supports regulatory compliance and organizational resilience.

This course provides participants with a structured understanding of AML investigations and financial intelligence processes, covering the full investigative lifecycle from suspicious activity identification and case initiation to evidence gathering, financial analysis, case documentation, and regulatory reporting. Participants will explore internationally recognized investigative methodologies, intelligence-led risk assessment, transaction analysis, customer behavior profiling, beneficial ownership analysis, link analysis, and financial intelligence techniques used to detect and disrupt illicit financial activities. The program emphasizes a risk-based approach that integrates AML investigations with Financial Crime Risk Management, Compliance Risk Management, and Enterprise Risk Management.

The program also examines governance structures supporting AML investigations, including case management procedures, suspicious activity reporting, regulatory cooperation, intelligence sharing, internal controls, quality assurance, and investigative documentation. Participants will gain practical knowledge of integrating transaction monitoring, Customer Due Diligence (CDD), Know Your Customer (KYC), sanctions compliance, fraud investigations, and financial intelligence into a coordinated financial crime prevention framework. Emerging challenges associated with digital banking, virtual assets, financial technology, and cyber-enabled financial crime are also explored throughout the course.

Through practical case studies, investigative simulations, and interactive workshops, participants will strengthen their ability to evaluate suspicious activities, conduct financial investigations, interpret financial intelligence, prepare professional investigative reports, and communicate findings effectively to senior management and regulatory authorities. By the end of the course, participants will be equipped to improve investigative effectiveness, strengthen AML compliance programs, enhance financial intelligence capabilities, reduce financial crime risks, and support sustainable organizational performance.

Objectives

- Analyze AML Investigations & Financial Intelligence principles and evaluate their implementation within banking and financial institutions by the end of the course.
- Develop structured investigative methodologies for identifying, assessing, investigating, and documenting suspicious financial activities.
- Evaluate customer behavior, transaction patterns, beneficial ownership structures, and financial relationships to identify potential money laundering and terrorist financing risks.
- Apply financial intelligence techniques, transaction analysis, link analysis, and investigative tools using internationally recognized best practices.
- Design effective AML investigation procedures, case management processes, and investigative documentation standards.
- Improve Suspicious Activity Report (SAR) preparation, regulatory reporting, and communication with Financial Intelligence Units (FIUs) and supervisory authorities.
- Strengthen governance, internal controls, and quality assurance frameworks supporting AML investigations and financial intelligence operations.
- Implement performance measurement, Key Risk Indicators (KRIs), and investigative quality standards to improve operational effectiveness.
- Assess emerging financial crime risks associated with digital banking, financial technology, virtual assets, artificial intelligence, and cross-border financial services.
- Align AML Investigations & Financial Intelligence practices with AML compliance, Financial Crime Risk Management, Enterprise Risk Management, and regulatory expectations before course completion.

Who Should Attend

This course is designed for AML Investigation Managers, AML Compliance Managers, Financial Intelligence Analysts, Financial Crime Risk Managers, Compliance Managers, Transaction Monitoring Managers, Financial Crime Investigators, Customer Due Diligence (CDD) Analysts, Know Your Customer (KYC) Specialists, Sanctions Compliance Professionals, Operational Risk Managers, Internal Audit Managers, Regulatory Affairs Specialists, Fraud Investigation Professionals, and individuals responsible for financial crime investigations within banks and financial institutions.

The program is equally valuable for Chief Compliance Officers, Chief Risk Officers, Executive Management Teams, Board Risk Committee members, Audit Committee members, Financial Intelligence Unit (FIU) professionals, Central Bank specialists, Financial Regulators, Law Enforcement Liaison Officers, Payment Service Providers, Insurance Companies, Investment Firms, FinTech Compliance Professionals, and senior decision-makers responsible for financial crime prevention, governance, regulatory compliance, and enterprise-wide risk management.

Learning Outcomes

- By the end of this course, participants will be able to:
- Develop an integrated AML Investigations & Financial Intelligence framework aligned with international regulatory standards.
- Identify and evaluate suspicious financial activities using intelligence-led investigative methodologies.
- Conduct structured AML investigations supported by transaction analysis, customer profiling, and financial intelligence techniques.
- Apply link analysis, beneficial ownership analysis, and financial data interpretation to identify criminal networks and illicit financial activities.
- Prepare high-quality Suspicious Activity Reports (SARs) and comprehensive investigative case files.
- Strengthen case management procedures, investigative documentation, and evidence collection processes.
- Integrate AML investigations with transaction monitoring, Customer Due Diligence (CDD), Know Your Customer (KYC), sanctions compliance, and Financial Crime Risk Management.
- Prepare executive investigative reports and financial intelligence briefings for senior

management and regulatory authorities.

- Assess emerging financial crime risks associated with digital banking, virtual assets, cyber-enabled financial crime, and financial technology.
- Develop a practical implementation roadmap to strengthen AML Investigations & Financial Intelligence capabilities, improve regulatory compliance, enhance investigative effectiveness, and support organizational resilience.

Course Outline

DAY 01

Foundations of AML Investigations & Financial Intelligence

- Principles and objectives of AML investigations
- International AML regulatory frameworks and investigative standards
- Financial intelligence concepts and intelligence-led investigations
- Governance structures and organizational responsibilities

> PRACTICAL APPLICATION
>
> Initiating an AML investigation based on suspicious activity indicators

DAY 02

Financial Intelligence Analysis and Suspicious Activity Identification

- Transaction analysis methodologies
- Customer behavior profiling and risk assessment
- Beneficial ownership analysis
- Link analysis and financial intelligence techniques

> PRACTICAL APPLICATION
>
> Identifying suspicious transaction patterns using financial intelligence

DAY 03

AML Investigation Procedures and Case Management

- Investigative planning and evidence collection
- Case management methodologies
- Suspicious Activity Report (SAR) preparation
- Regulatory reporting and investigative documentation

PRACTICAL APPLICATION

Conducting an AML investigation and preparing a professional case file

DAY 04

Advanced Investigations and Emerging Financial Crime Risks

- Digital banking investigations
- Virtual asset and FinTech-related financial crime investigations
- Cyber-enabled financial crime investigations
- Governance, quality assurance, and investigative oversight

PRACTICAL APPLICATION

Evaluating complex financial crime investigations and strengthening investigative controls

DAY 05

Building a High-Performance AML Investigation & Financial Intelligence Framework

- Integrating AML investigations with Enterprise Risk Management and Financial Crime Risk Management
- International best practices and evolving regulatory expectations
- Continuous improvement of AML investigation programs
- Performance measurement and executive reporting

DAY 05 — CONTINUED

> **FINAL WORKSHOP**
>
> Developing a comprehensive AML Investigations & Financial Intelligence implementation plan that includes intelligence-led risk assessments, transaction analysis, customer profiling, investigative procedures, case management, Suspicious Activity Reporting (SAR), governance enhancements, regulatory reporting, performance measurement, and continuous improvement initiatives to strengthen financial crime prevention, regulatory compliance, organizational resilience, and sustainable institutional performance.

Register or Request More Information

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