QUEST TRAINING

Advanced AML Compliance

Compliance, AML & Financial Crime · Banking & Finance · 5 Days · Classroom

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OVERVIEW

Advanced AML Compliance is a comprehensive professional training course designed to strengthen the knowledge, capabilities, and decision-making skills of compliance professionals responsible for preventing money laundering, terrorist financing, and other forms of financial crime. As regulatory expectations continue to evolve and financial institutions face increasingly sophisticated threats, organizations require professionals who can implement effective Anti-Money Laundering (AML) compliance frameworks while supporting operational resilience, regulatory compliance, and sound corporate governance.Ð
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This course provides participants with an in-depth understanding of advanced AML compliance principles, regulatory expectations, risk-based approaches, customer due diligence, transaction monitoring, sanctions compliance, suspicious activity reporting, and financial crime prevention strategies. It emphasizes practical implementation rather than theoretical knowledge, enabling participants to understand how AML controls operate across banking, financial services, government entities, insurance companies, fintech organizations, and other regulated sectors.Ð
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Participants will examine international AML standards, governance structures, emerging financial crime risks, and compliance best practices while learning how to strengthen internal controls, improve monitoring systems, enhance reporting processes, and support enterprise-wide compliance programs. The course also explores the relationship between AML compliance, corporate governance, operational risk, fraud prevention, and regulatory oversight.Ð
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By combining regulatory knowledge with practical applications, case discussions, and implementation exercises, this Advanced AML Compliance course enables organizations to improve financial crime prevention capabilities, strengthen regulatory readiness, reduce compliance risks, and foster a strong culture of integrity and ethical business practices across all levels of the organization.

OBJECTIVES

- Analyze advanced AML compliance requirements and their application within regulated organizations by the end of the course.
- Evaluate money laundering and terrorist financing risks using structured risk assessment methodologies during practical exercises.
- Develop effective AML compliance frameworks aligned with organizational objectives and regulatory expectations throughout the training.
- Apply enhanced customer due diligence and ongoing monitoring procedures to manage high-risk customer relationships effectively.
- Design risk-based transaction monitoring processes capable of identifying unusual and suspicious financial activities.

• Assess sanctions screening, politically exposed persons (PEP) controls, and adverse media monitoring within practical compliance scenarios.
• Strengthen internal AML controls by identifying weaknesses and recommending measurable improvements during workshop activities.
• Implement effective suspicious activity identification, investigation, documentation, and reporting practices through case studies.
• Improve governance, oversight, and compliance reporting processes to support senior management and board-level decision-making.
• Align AML compliance strategies with enterprise risk management, operational resilience, and organizational compliance objectives by the conclusion of the program.

WHO SHOULD ATTEND

This course is designed for AML compliance officers, compliance managers, financial crime specialists, AML investigators, sanctions specialists, regulatory compliance professionals, internal auditors, operational risk managers, governance professionals, fraud prevention specialists, legal and regulatory affairs personnel, and professionals responsible for designing, implementing, or overseeing Anti-Money Laundering compliance programs.Đ
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The program is also highly valuable for executives, department managers, branch managers, risk managers, regulatory reporting teams, customer due diligence specialists, Know Your Customer (KYC) professionals, financial intelligence personnel, banking operations managers, payment services professionals, fintech compliance teams, and government officials involved in financial supervision and regulatory enforcement. Professionals working within banks, financial institutions, insurance companies, investment firms, securities organizations, central banks, public sector entities, multinational corporations, and regulated industries will benefit from the practical knowledge and implementation techniques presented throughout the course.

LEARNING OUTCOMES

• By the end of this course, participants will be able to:
• Conduct comprehensive AML risk assessments using internationally recognized risk-based methodologies.
• Design and enhance AML compliance frameworks that support organizational governance and regulatory compliance.
• Apply advanced customer due diligence and enhanced due diligence procedures for higher-risk customers and business relationships.
• Evaluate transaction monitoring alerts and distinguish between normal activity and potentially suspicious transactions.
• Strengthen sanctions screening, PEP management, and adverse media review processes within compliance operations.
• Investigate potential money laundering indicators and prepare well-documented suspicious activity reports.
• Assess the effectiveness of AML internal controls and recommend practical improvements based on organizational risks.
• Integrate AML compliance with enterprise risk management, fraud prevention, and operational risk frameworks.
• Support senior management by preparing meaningful compliance reports, risk assessments, and governance recommendations.

• Develop practical action plans to improve AML compliance effectiveness within their own organizations.

COURSE OUTLINE

1. Course Outline:
2. Day 1: Advanced AML Compliance Frameworks and Regulatory Environment
3. Advanced AML Compliance Frameworks and Regulatory Environment
4. The evolving global landscape of Anti-Money Laundering compliance
5. International AML standards, regulatory expectations, and supervisory approaches
6. Money laundering, terrorist financing, proliferation financing, and associated financial crime risks
7. Governance responsibilities, compliance culture, and organizational accountability
8. Practical discussion: Evaluating the maturity of an organization's AML compliance framework
9. Day 2: Risk-Based AML Compliance and Customer Due Diligence
10. Risk-Based AML Compliance and Customer Due Diligence
11. Enterprise-wide AML risk assessment methodologies
12. Customer Risk Rating models and risk-based compliance strategies
13. Customer Due Diligence (CDD), Enhanced Due Diligence (EDD), and ongoing monitoring
14. Beneficial ownership identification, complex ownership structures, and high-risk customer management
15. Practical workshop: Designing risk-based customer onboarding and monitoring processes
16. Day 3: Transaction Monitoring, Investigations, and Suspicious Activity Reporting
17. Transaction Monitoring, Investigations, and Suspicious Activity Reporting
18. Advanced transaction monitoring methodologies and detection techniques
19. Identifying red flags, behavioral indicators, and unusual transaction patterns
20. Alert management, case investigation procedures, and documentation standards
21. Suspicious activity identification, escalation, reporting, and regulatory expectations
22. Case study: Investigating complex financial crime scenarios and preparing compliance recommendations
23. Day 4: AML Controls, Sanctions Compliance, and Financial Crime Risk Management
24. AML Controls, Sanctions Compliance, and Financial Crime Risk Management
25. Designing effective AML internal controls and compliance monitoring programs
26. Sanctions compliance, screening technologies, and sanctions risk management
27. Politically Exposed Persons (PEPs), adverse media screening, and high-risk customer oversight
28. Integrating AML compliance with fraud prevention, operational risk, and enterprise governance
29. Practical exercise: Assessing control effectiveness and developing AML improvement initiatives
30. Day 5: Strengthening AML Compliance Programs and Organizational Implementation
31. Strengthening AML Compliance Programs and Organizational Implementation
32. Compliance testing, quality assurance, and continuous improvement strategies
33. Regulatory examinations, audit readiness, and responding to supervisory findings
34. AML reporting, governance dashboards, management information, and board oversight
35. Emerging AML challenges, digital transformation, fintech, virtual assets, and evolving financial crime threats
36. Final workshop: Developing an organizational Advanced AML Compliance implementation roadmap and action plan for sustainable regulatory compliance and financial crime risk management.

Register or Request More Information

Course page: http://localhost:3000/courses/advanced-aml-compliance
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